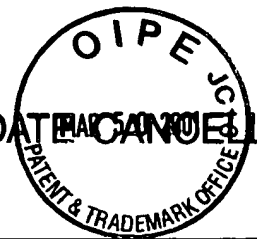


FORM PTO-1449 (MODIFIED)

LIST OF PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT



Applicant(s): J.L. Hellerstein et al.
Docket No.: YOR920000581US1
Serial No.: 09/731,937
Filing Date: December 7, 2000
Group: 2151 2143



MAIL DATE CANCELLED

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NO. | DATE | NAME | CLASS/SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|--------------|---------|---|----------------|-------------------------------|
| <u>IN</u> | 5,874,955 | 2/23/99 | Rogowitz et al. | | |
| <u>IN</u> | 5,661,668 | 8/26/97 | Yemini et al. | | |
| <u>IN</u> | 09/359,874 | 7/27/99 | System and Method for Exploratory Analysis of Data for Event Management | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NO. | DATE | COUNTRY | CLASS/SUBCLASS | TRANSLATION YES NO |
|---------------------|--------------|------|---------|----------------|-----------------------|
|---------------------|--------------|------|---------|----------------|-----------------------|

OTHER DOCUMENTS

| EXAMINER INITIAL | REF NO. | AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC. |
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| <u>IN</u> | | 1. K.R. Milliken et al., "YES/MVS and the Automation of Operations for Large Computer Complexes," IBM Systems Journal, Vol. 25, No. 2, pp. 159-180, 1986. |
| <u>IN</u> | | 2. Computer Associates International, "Neugents. The Software That Can Think," http://www.cai.com/neugents , 2 pages, July 16, 1999. |
| <u>IN</u> | | 3. S. Ma et al., "EventBrowser: A Flexible Tool For Scalable Analysis of Event Data," Distributed Operations and Management, pp. 1-12, April 15, 1999. |
| <u>IN</u> | | 4. T.M. Mitchell, "Machine Learning," McGraw Hill, pp. 29-33, 1997. |

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Technology Center 2100

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.